

## PROGRAMME

TIME	DAY ONE
08:30 - 09:00	<b>Registration</b>
	Welcome Remarks <b>Mr. Stuart Kufeni, CEO, SADC DFRC</b>
	Introduction of Participants
	Programme Overview Group Photo
09:00 – 09:30	An update on the 2016 PSGRS Self-Assessment and background on how the PSGRS Tool review.  <b>Dr. Herrick Mpuku</b>
09:30 – 10:30	Overview and Intended objective of the current PSGRS Tool in SADC DFIs and the review road map  <b>Dr. Michael Mah'moud - PSGRS Expert</b>
10:30 – 10:45	<b>TEA BREAK</b>
10:55 – 13:00	DFI Presentations
1300 – 1400	<b>LUNCH</b>
14:00 – 15:00	Session I: Governance Standards <b>Dr. Michael Mah'moud - PSGRS Expert</b>
15:00 – 15:15	<b>TEA BREAK</b>
15:15 – 16:30	Session II: Financial Prudential Standards  <b>Dr. Michael Mah'moud - PSGRS Expert</b>
TIME	DAY TWO
09:00 – 10:00	Session III: Operational Standards <b>Dr. Michael Mah'moud - PSGRS Expert</b>
10:00 – 10:15	<b>TEA BREAK</b>
10:15 – 11:00	Session IV: Recap <b>Dr. Michael Mah'moud - PSGRS Expert</b>
11:00 – 12:30	Way Forward – Summary <b>Dr. Michael Mah'moud - PSGRS Expert</b>
1300 – 1400	<b>LUNCH AND CLOSE</b>

## South African DFI Network Members



The SADC DFRC is accredited by the Botswana Qualification Authority as a training provider



The SADC-DFRC is a Subsidiary institution of SADC

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# PRUDENTIAL STANDARDS, GUIDELINES AND RATING SYSTEM



*Building Partnerships for Economic Growth & Development*



29<sup>th</sup> - 30<sup>th</sup> May 2017

Development Bank of Southern Africa



## OVERVIEW

The DFRC is spearheading and supporting Prudential Standards, Guidelines and Rating Systems (PSGRS) as part of the Policy Research mandate in its initiative to promote Policy, Regulatory and Institutional changes to enhance resource mobilisation to Development Finance Institutions (DFIs).

The implementation of the PSGRS is an important policy initiative that the DFRC is undertaking to enhance the governance of DFIs by ensuring that they comply with key indicators of good practice in governance, financial and operational management.

The DFRC compiles the ratings based on self-assessment submitted by the thirty seven (37) member DFIs. A score of above 80% is considered to indicate reasonably good compliance with acceptable standards of DFI governance. The results are then submitted to the Association of African Development Finance Institutions (AADFI) for inclusion in their database, and to achieve a pan-Africa comparison of adherence to best practices by DFIs across Africa. Thus all SADC DFIs will be able to ascertain their level of performance in relation to all the other DFIs in Africa.

## OBJECTIVES

This programme is aimed at promoting the adoption by DFIs of self-assessment practices in line with the Association of African Development Finance Institutions' (AADFI) Prudential Standards, Guidelines and Rating System (PSGRS) for African DFIs. The programme seeks to:

- Strengthen the technical capacity of participants by enabling them to have a clear understanding through explanations by the Project Consultant of the adopted AADFI Prudential Standards, Guidelines and Rating System for African DFIs;
- Prepare participants for the self-assessment of their institutions with the adopted PSGRS by especially working through the rating process;
- Prepare individual DFI assessments and subject them to peer review;
- Put in place individual DFI level action plans to address identified weaknesses and secure credit ratings;
- Undertake regular (annual) assessments in SADC DFIs, and generate vital information necessary for them to improve on any deficiencies and weaknesses; and
- Allow DFIs the opportunity to compare their compliance with best practices in SADC and Africa as a whole.

This programme focuses on review of the ratings, and their adjustment to reflect a more accurate representation of the status of the organisation by reference to relevant information about the organisation.

## FACILITATOR

**Dr. Michael Mah'moud**, a consultant, is a former staff of the African Development Bank (AfDB), He was Acting Director of the Bank's NEPAD, Regional Integration and Trade Department. He also held positions of Lead Financial Economist and Advisor to the Operations Vice President at the Bank. As Lead Financial Economist, he worked on various African financial sector issues, including on banking and financial standards.

He says, "having led the formulation of the PSGRS as AfDB staff, I am glad to see that the instrument is making significant contribution in addressing governance and management challenges in our DFIs and I appreciate the opportunity to be part of the related training programs".

He holds M.A and Ph.D. (Econ) degrees from the University of Western Ontario, Canada and a B. Sc. (Econ) degree from the University of Ghana, Legon.